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| ×. | PATENT AND TRAD | OF COMMERCE EMARK OFFICE | |
| | TAL INFORMATION STATEMENT | Docket Number: 10191/3399 | |
| Application Number 10/691,108 | Filing Date Oct. 22, 2003 | Examiner Not Yet Assigned | 1763 |
| | METHOD FOR ANISOTROPIC IING OF A SUBSTRATE, | Inventors Klaus BREITS | SCHWERDT et al. |

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

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I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to:

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Date: 4105

Signature: Cathlue Dum

- 1. In accordance with the duty of disclosure under 37 C.F.R. § 1.56 and in conformance with the procedures of 35 U.S.C. §§ 1.97 and 1.98 and M.P.E.P. § 609, attorneys for Applicant hereby bring the following references to the attention of the Examiner. These references are listed on the attached modified PTO Form No. 1449. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the references be made of record therein and appear among the "References Cited" on any patent to issue therefrom. The filing of this Information Disclosure Statement and the enclosed PTO Form No. 1449, shall not be construed as an admission that the information cited is prior art, or is considered to be material to patentability as defined in 37 C.F.R. § 1.56(b).
- 2. A copy of each reference listed on the modified PTO Form 1449 is enclosed herewith.
- 3. Applicants' attorney certifies that the item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of information disclosure statement.

4. It is believed that no fees are due in connection with this Information Disclosure Statement. However, should any fees be due, the Commissioner is authorized to charge deposit account number 11-0600 for such fees. A duplicate copy of this communication is enclosed for charging purposes.

Dated: 4/165

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| INFORMATION DISCLOSURE STATEMENT BY APPLICANTS PTO FORM 1449 | ATTY. DOCKET NO. 10191/3399 | SERIAL NO. 10/691,108 |
|--|---|--------------------------|
| | APPLICANT(s) Klaus BREITSCHWERDT et FILING DATE | al. GROUP |
| | Oct. 22, 2003 | 1763 |

U. S. PATENT DOCUMENTS

| EXAMINER INITIAL | PATENT NUMBER | PATENT DATE | | | SUBCLASS | FILING DATE |
|---------------------|------------------|----------------|--------------|--|----------|----------------|
| | * 5,888,907 | March 30, 1999 | Tomoyasu | | | *** |
| | * 6,042,687 | March 28, 2000 | Singh et al. | | | |
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^{*} copies not enclosed – application filed after June 30, 2003.

FOREIGN PATENT DOCUMENTS

| EXAMINER INITIAL | DOCUMENT NUMBER | DATE | COUNTRY | CLASS | SUB- CLASS | TRANSI | ATION |
|---------------------|--------------------|----------------|---------|-------|---------------|----------|-------|
| | | | | | | YES | NO |
| | 2 327 382 | Jan. 27, 1999 | GB | | | | |
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^{*} Described in the specification.

OTHER DOCUMENTS

| EXAMINER INITIAL | AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC. |
|---------------------|--|
| | |
| | |
| | |

EXAMINER DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with M.P.E.P. 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.